

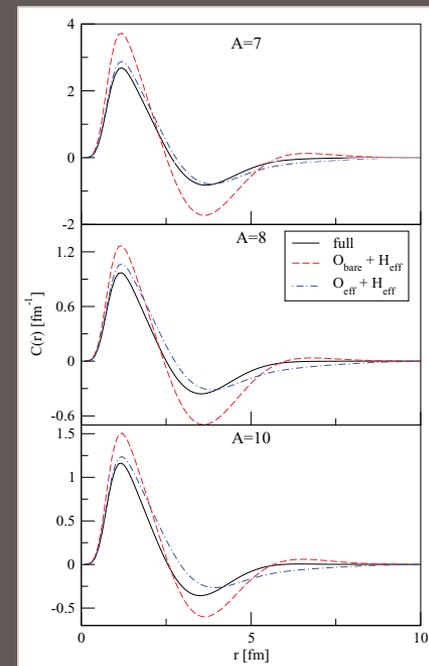
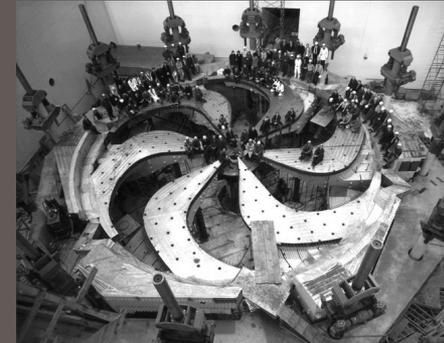
SRG and Valence-Space Renormalization of the $0\nu\beta\beta$ Decay Operator

TRIUMF Workshop on

“Interfacing theory and experiment for reliable double-beta decay matrix element calculations”

Vancouver, Canada, May 11-13, 2016.

Petr Navratil | TRIUMF



- Motivation
 - *Ab initio* in nuclear physics
 - No-core shell model
 - GT transitions in ${}^6\text{He}$ \longleftrightarrow quenching
- SRG evolution of operators
- Okubo-Lee-Suzuki renormalization of operators in the valence space
 - Neutrinoless double beta decay toy model
- Outlook

$M_{0\nu\beta\beta}$ (or any other) operator renormalization

- (i) Renormalization due to missing short-range correlations
 - Applies to many *ab initio* techniques
 - NCSM, CCM, IM-SRG...
 - Applies also to phenomenological approaches using effective interactions
 - SRG is the tool to do the renormalization (surely if SRG evolved interactions are used)
- (ii) Renormalization due to the valence space truncation
 - This is typically on top of the short-range renormalization (i)
 - *Ab initio*: Valence space IM-SRG, CCEI, NCSM with core, MBPT
 - Phenomenology (SM, IBM): effective charges, quenching, MBPT...

Ab initio calculations in nuclear physics

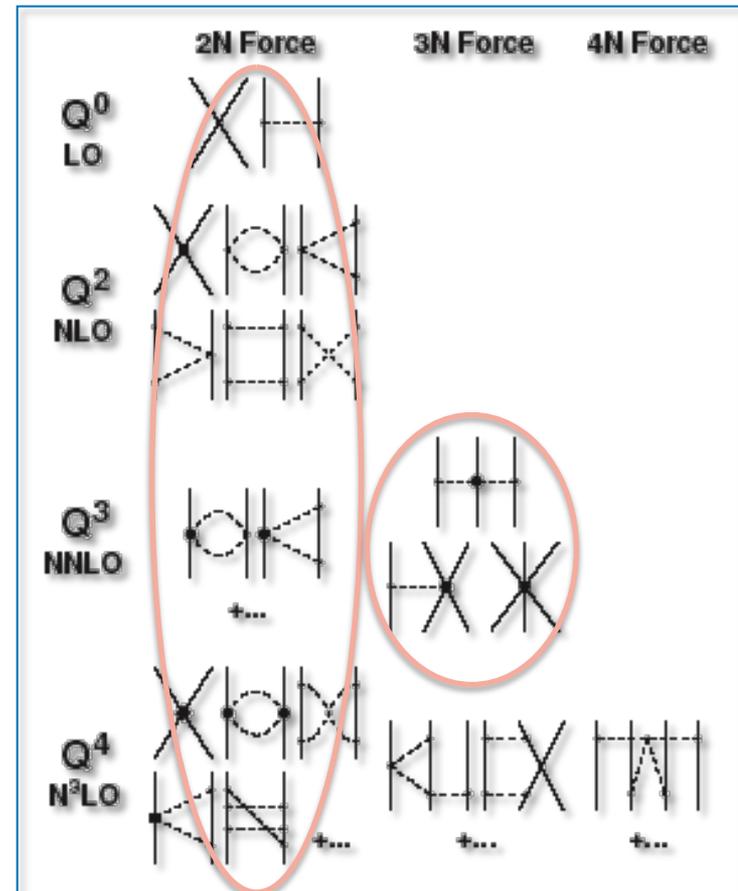
- ✧ All nucleons are active
- ✧ Exact Pauli principle
- ✧ Realistic inter-nucleon interactions
 - ✧ Accurate description of NN (and 3N) data
- ✧ Controllable approximations

Softening of chiral NN+3N interactions by **similarity renormalization group (SRG)** unitary transformations:
 Induce significant **3N interactions**
 Induced 4N and higher much less important

$$H_\alpha = U_\alpha H U_\alpha^\dagger \Rightarrow \frac{dH_\alpha}{d\alpha} = \left[[T, H_\alpha], H_\alpha \right] \quad (\alpha = 1/\lambda^4)$$

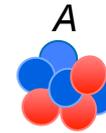
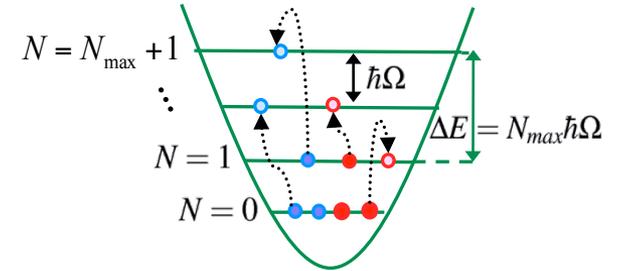
INPUT:

Realistic inter-nucleon interactions from **chiral perturbation theory** (N³LO) NN+ (N²LO) 3N



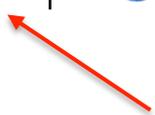
No-core shell model

- No-core shell model (NCSM)
 - A -nucleon wave function expansion in the harmonic-oscillator (HO) basis
 - short- and medium range correlations
 - Bound-states, narrow resonances

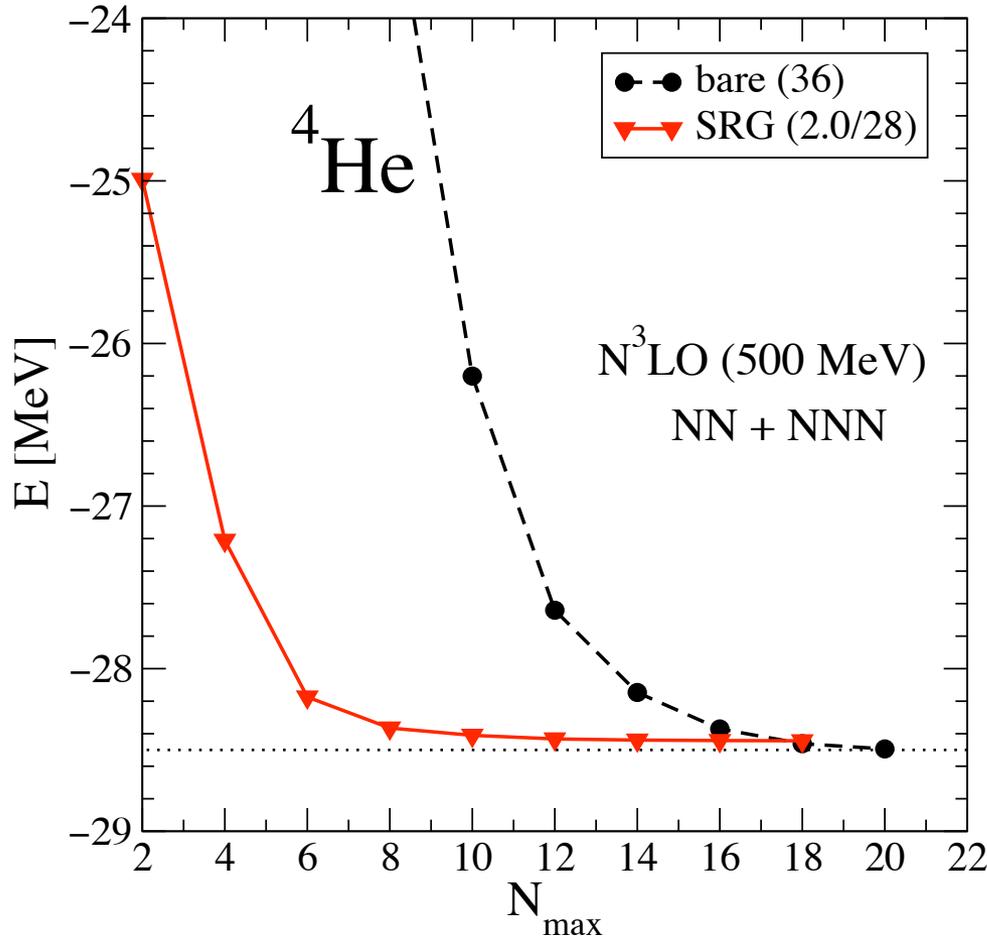


$$\Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^A$$

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| (A) \text{ [nucleon cluster] }, \lambda \right\rangle$$

 Unknowns

Calculations with chiral 3N: SRG renormalization needed



Chiral $N^3\text{LO}$ NN plus $N^2\text{LO}$ NNN potential

- Bare interaction (black line)
 - Strong short-range correlations
 - Large basis needed
- SRG evolved effective interaction (red line)
 - Unitary transformation

$$H_\alpha = U_\alpha H U_\alpha^\dagger \Rightarrow \frac{dH_\alpha}{d\alpha} = [[T, H_\alpha], H_\alpha] \quad (\alpha = 1/\lambda^4)$$

- Two- plus *three*-body components, *four*-body omitted
- Softens the interaction
 - Smaller basis sufficient

PRL 103, 082501 (2009)

PHYSICAL REVIEW LETTERS

week ending
21 AUGUST 2009

Evolution of Nuclear Many-Body Forces with the Similarity Renormalization Group

E. D. Jurgenson,¹ P. Navrátil,² and R. J. Furnstahl¹

$A=3$ binding energy and half life constraint

$c_D = -0.2$, $c_E = -0.205$, $\Lambda = 500$ MeV

Similarity Renormalization Group (SRG) evolution

- Continuous transformation driving Hamiltonian to band-diagonal form with respect to a chosen basis

- Unitary transformation $H_\alpha = U_\alpha H U_\alpha^+ \quad U_\alpha U_\alpha^+ = U_\alpha^+ U_\alpha = 1$

$$\begin{aligned} \frac{dH_\alpha}{d\alpha} &= \frac{dU_\alpha}{d\alpha} H U_\alpha^+ + U_\alpha H \frac{dU_\alpha^+}{d\alpha} = \frac{dU_\alpha}{d\alpha} U_\alpha^+ U_\alpha H U_\alpha^+ + U_\alpha H U_\alpha^+ U_\alpha \frac{dU_\alpha^+}{d\alpha} \\ &= \frac{dU_\alpha}{d\alpha} U_\alpha^+ H_\alpha + H_\alpha U_\alpha \frac{dU_\alpha^+}{d\alpha} = [\eta_\alpha, H_\alpha] \end{aligned}$$

$$\eta_\alpha \equiv \frac{dU_\alpha}{d\alpha} U_\alpha^+ = -\eta_\alpha^+$$

anti-Hermitian generator

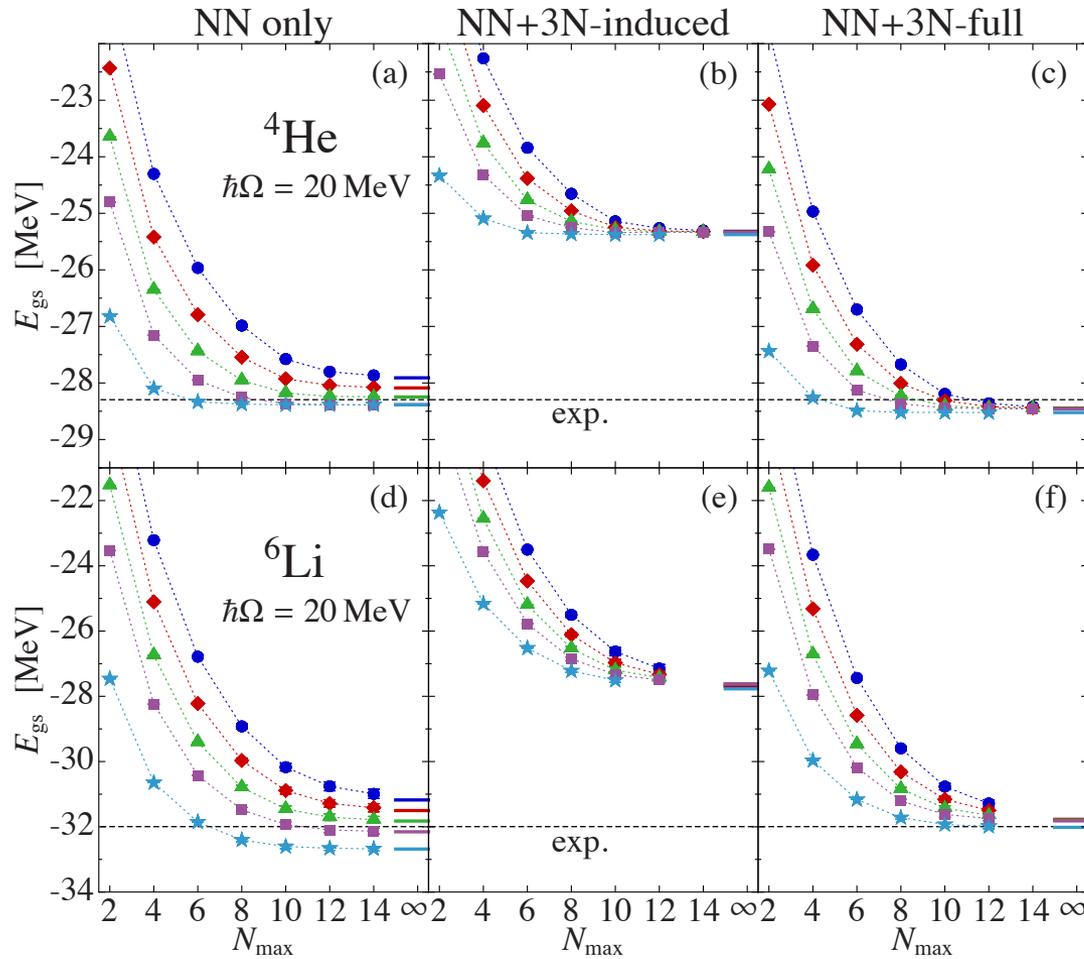
- Setting $\eta_\alpha = [G_\alpha, H_\alpha]$ with Hermitian G_α

$$\frac{dH_\alpha}{d\alpha} = [[G_\alpha, H_\alpha], H_\alpha]$$

- Customary choice in nuclear physics $G_\alpha = T$...kinetic energy operator
 - band-diagonal in momentum space plane-wave basis

- Initial condition $H_{\alpha=0} = H_{\lambda=\infty} = H \quad \lambda^2 = 1/\sqrt{\alpha}$

Light nuclei with SRG evolved interactions



- Fast convergence
- Significant 3N induced interaction
- No 4N induced interaction



Precision measurement of ${}^6\text{He}$ beta decay

PHYSICAL REVIEW C **86**, 035506 (2012)



Precision measurement of the ${}^6\text{He}$ half-life and the weak axial current in nuclei

A. Knecht,^{1,*} R. Hong,¹ D. W. Zumwalt,¹ B. G. Delbridge,¹ A. García,¹ P. Müller,² H. E. Swanson,¹ I. S. Towner,³ S. Utsuno,¹ W. Williams,^{2,†} and C. Wrede^{1,‡}

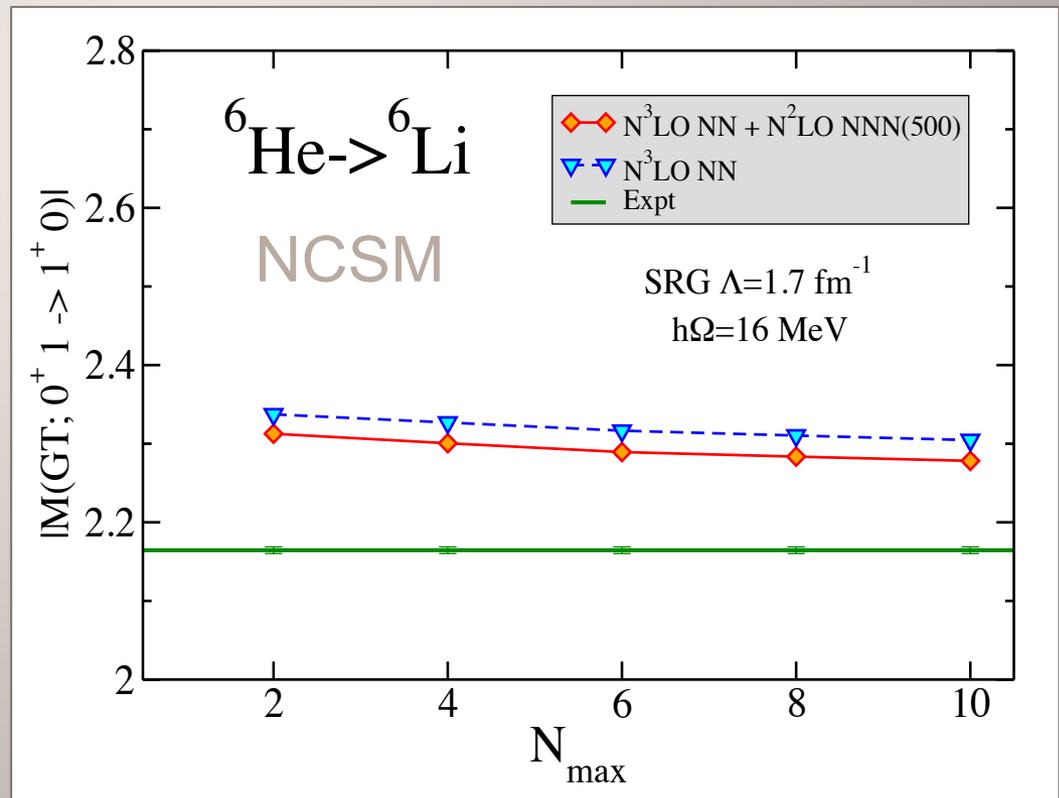
... challenge and test
of *ab initio* calculations,
nuclear forces
and currents

Improvement with
the **NNN** interaction

MEC must be included

Also:

Operator renormalization
& continuum



Precision measurement of ${}^6\text{He}$ beta decay

PHYSICAL REVIEW C **86**, 035506 (2012)



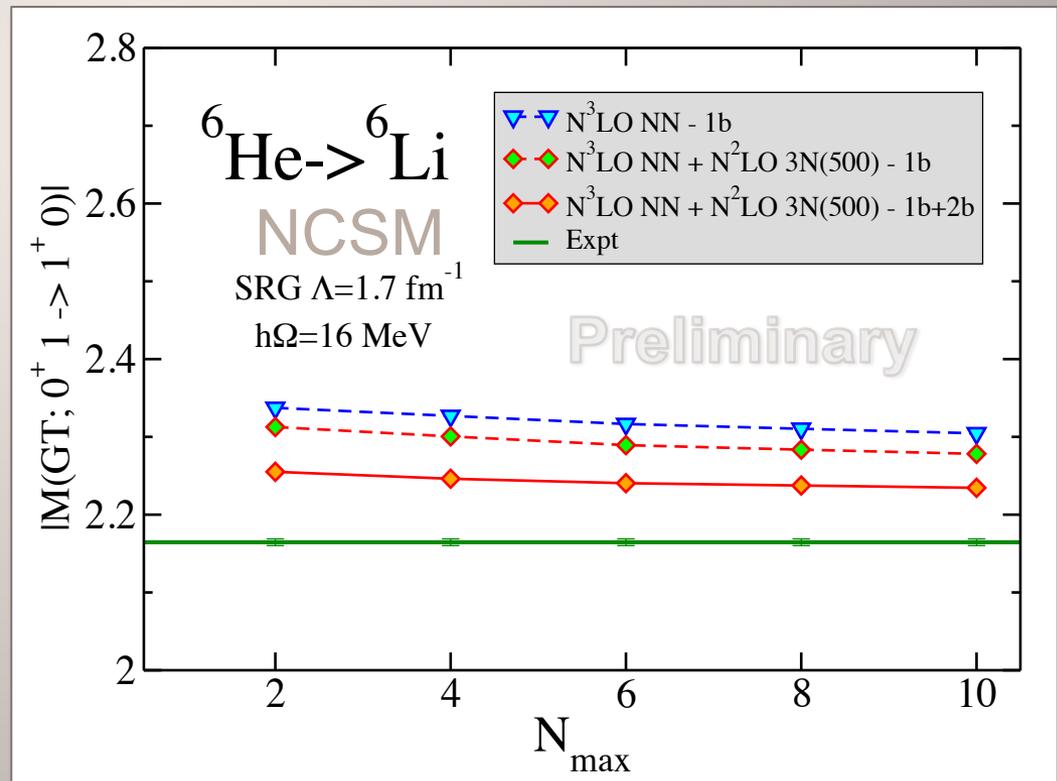
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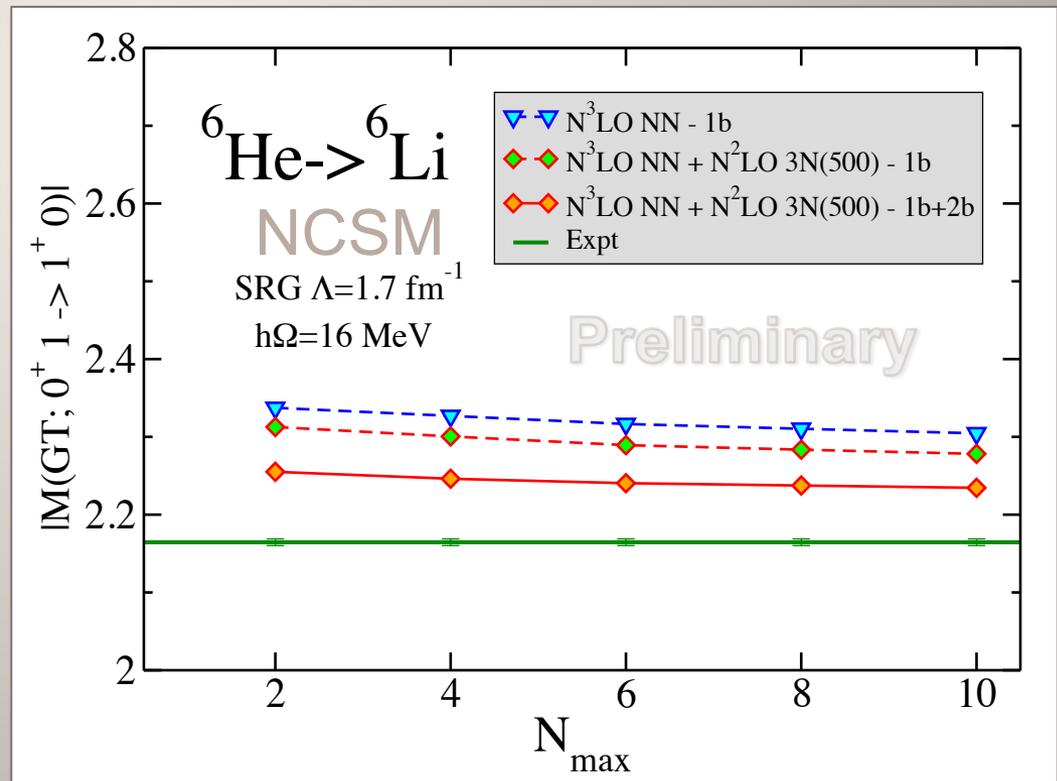


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... challenge and test
of *ab initio* calculations,
nuclear forces
and currents

Improvement with
the **NNN** interaction
Improvement with **MEC**
Still to be done:
Operator renormalization
& **continuum**



SRG evolution of transition operators

PHYSICAL REVIEW C **90**, 011301(R) (2014)

Operator evolution for *ab initio* theory of light nuclei

Micah D. Schuster,^{1,2} Sofia Quaglioni,² Calvin W. Johnson,¹ Eric D. Jurgenson,² and Petr Navrátil³

PHYSICAL REVIEW C **92**, 014320 (2015)

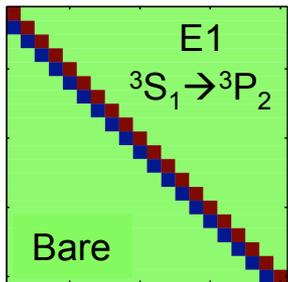
Operator evolution for *ab initio* electric dipole transitions of ⁴He

Micah D. Schuster,^{1,*} Sofia Quaglioni,^{2,†} Calvin W. Johnson,^{1,‡} Eric D. Jurgenson,² and Petr Navrátil³

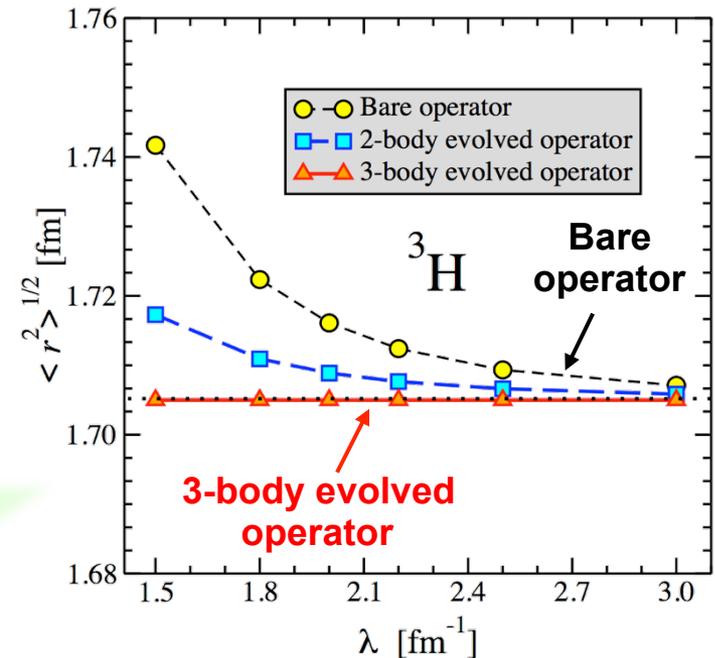
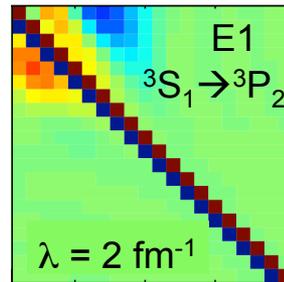
$$\hat{O}_\lambda^{JT} = \hat{U}_\lambda^f \hat{O}_{\lambda=\infty}^{JT} \hat{U}_\lambda^{i*}; \quad \hat{U}_\lambda = \sum_\alpha |\psi_\alpha(\lambda)\rangle \langle \psi_\alpha(\lambda=\infty)|$$

Final/initial unitary transformations

Eigenstates after & before evolution



Induces 2-body (& higher-body) operators



SRG evolution of transition operators

PHYSICAL REVIEW C **90**, 011301(R) (2014)

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PHYSICAL REVIEW C **92**, 014320 (2015)

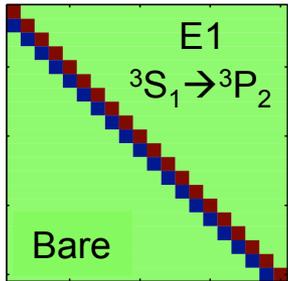
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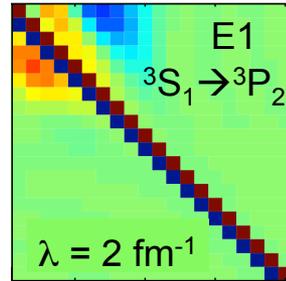
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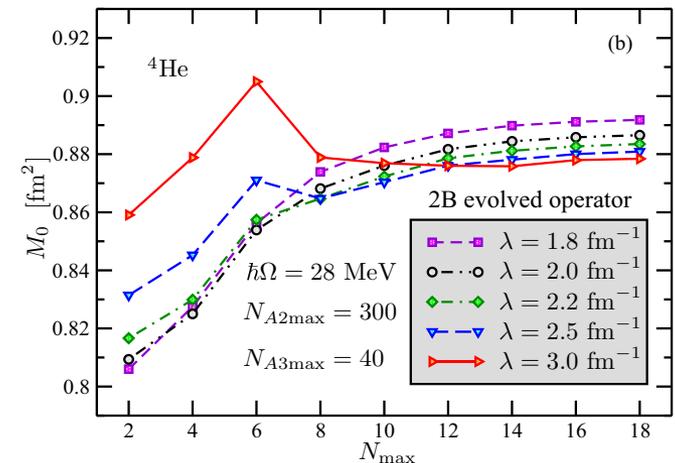
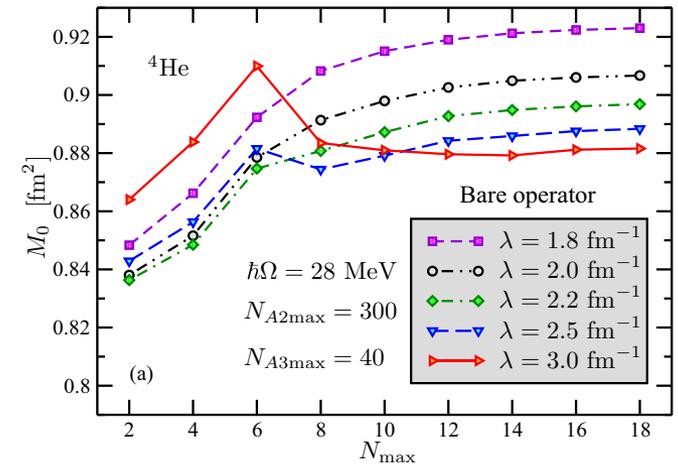
Induces 2-body (& higher-body) operators



Total *E1* dipole strength

$$M_0 = \langle \Psi_0 | \hat{D}^+ \hat{D} | \Psi_0 \rangle = \left\| \hat{D} | \Psi_0 \rangle \right\|^2$$

2B evolved *E1* operator \hat{D}



SRG evolution of transition operators

PHYSICAL REVIEW C **90**, 011301(R) (2014)

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PHYSICAL REVIEW C **92**, 014320 (2015)

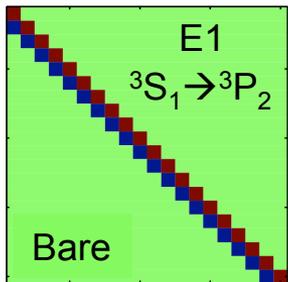
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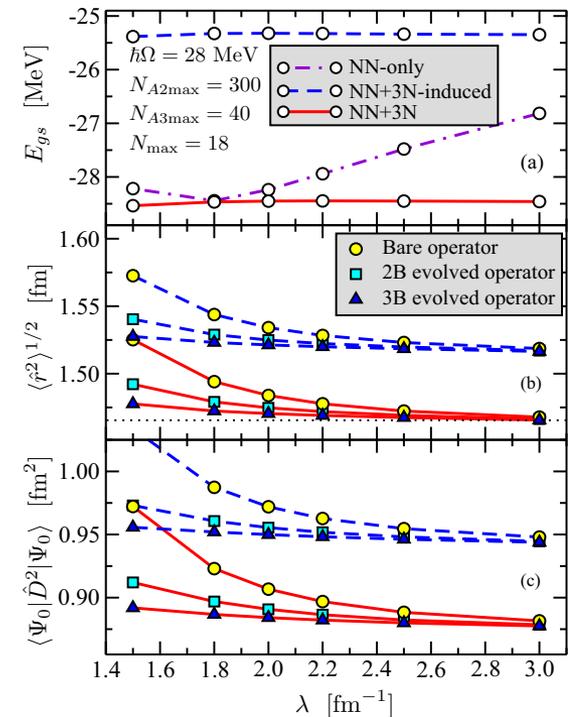
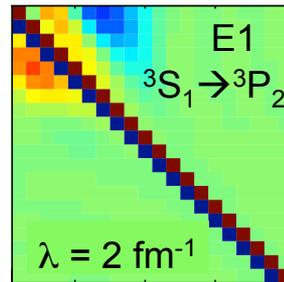
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Final/initial unitary transformations

Eigenstates after & before evolution



Induces 2-body (& higher-body) operators



In ⁴He, the inclusion of up to three-body induced terms all but completely restores the invariance of transitions under SRG

SRG evolution of transition operators

PHYSICAL REVIEW C **90**, 011301(R) (2014)

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PHYSICAL REVIEW C **92**, 014320 (2015)

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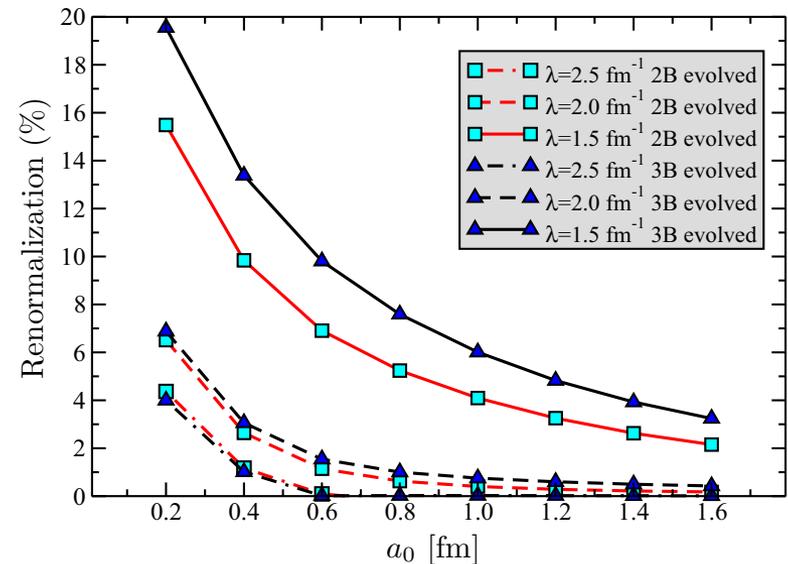
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Final/initial unitary transformations

Eigenstates after & before evolution

$$\hat{O}(\vec{r}_1, \vec{r}_2) = \mathcal{A} \exp\left(-\frac{(\vec{r}_1 - \vec{r}_2)^2}{a_0^2}\right)$$

$$\mathcal{A} \int \exp\left(-\frac{r^2}{a_0^2}\right) d\vec{r} = 1$$



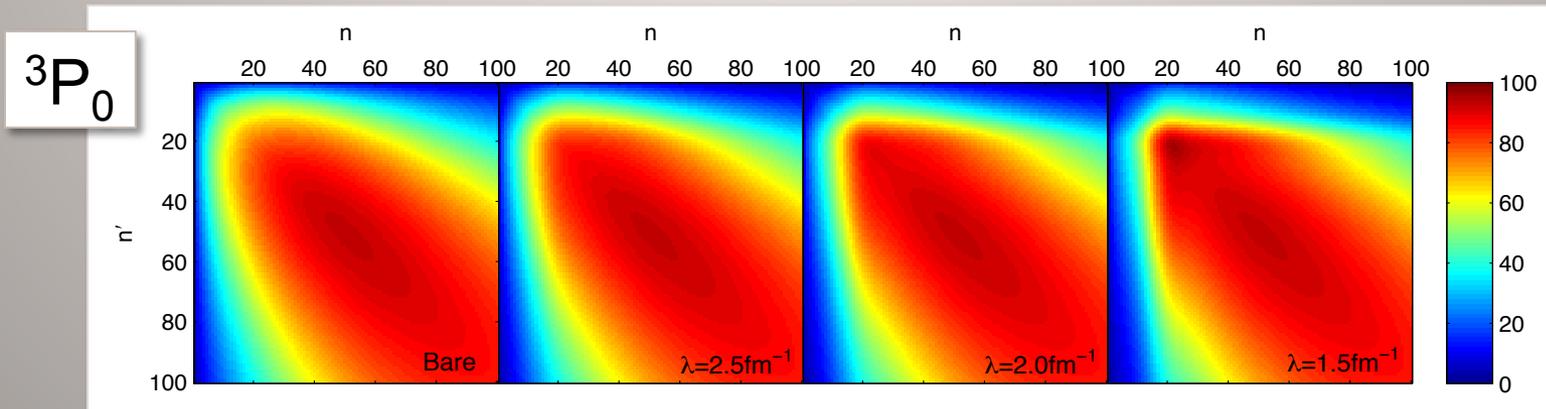
1) The shorter the range the more renormalization

2) The 3B contribution *relatively* more important for the longer range

Lesson for the neutrinoless double β decay: SRG evolve the $M_{0\nu\beta\beta}$ operator

SRG evolution of the $M_{0\nu\beta\beta}$ operator

- Applications in SM calculations presented by Mihai Horoi on Thursday
 - “ $0\nu\beta\beta$ Decay: To Quench or Not to Quench”
- 2B SRG evolution of the light neutrino $0\nu\beta\beta$
 - chiral $N^3\text{LO}$ NN, SRG $\lambda=2\text{ fm}^{-1}$
 - $\sim 5\%$ renormalization in ^{76}Ge
- 2B SRG evolution of the heavy neutrino $0\nu\beta\beta$
 - chiral $N^3\text{LO}$ NN, SRG $\lambda=2\text{ fm}^{-1}$
 - $\sim 25\%$ renormalization in ^{76}Ge



Operator renormalization in the valence space

PHYSICAL REVIEW C

VOLUME 55, NUMBER 2

FEBRUARY 1997

Microscopic origins of effective charges in the shell model

Petr Navrátil,* Michael Thoresen, and Bruce R. Barrett

PHYSICAL REVIEW C **78**, 044302 (2008)

Ab-initio shell model with a core

A. F. Lisetskiy,^{1,*} B. R. Barrett,¹ M. K. G. Kruse,¹ P. Navratil,² I. Stetcu,³ and J. P. Vary⁴

PHYSICAL REVIEW C **80**, 024315 (2009)

Effective operators from exact many-body renormalization

A. F. Lisetskiy,^{1,2,*} M. K. G. Kruse,¹ B. R. Barrett,¹ P. Navratil,³ I. Stetcu,⁴ and J. P. Vary⁵

PHYSICAL REVIEW C **84**, 044316 (2011)

Nonperturbative renormalization of the neutrinoless double- β operator in p -shell nuclei

Deepshikha Shukla and Jonathan Engel

Department of Physics and Astronomy, University of North Carolina, Chapel Hill, North Carolina, 27516-3255, USA

Petr Navratil

*TRIUMF, 4004 Wesbrook Mall, Vancouver, British Columbia, V6T 2A3 Canada and
Lawrence Livermore National Laboratory, P.O. Box 808, L-414, Livermore, California 94551, USA*

PRL **113**, 142502 (2014)

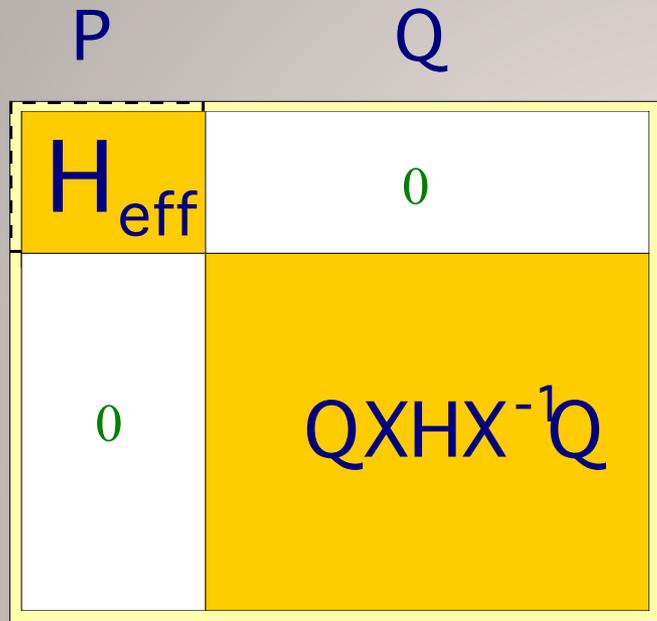
PHYSICAL REVIEW LETTERS

week ending
3 OCTOBER 2014

Ab Initio Coupled-Cluster Effective Interactions for the Shell Model: Application to Neutron-Rich Oxygen and Carbon Isotopes

G. R. Jansen,^{1,2} J. Engel,³ G. Hagen,^{1,2} P. Navratil,⁴ and A. Signoracci^{1,2}

Effective Hamiltonian & operators from Okubo-Lee-Suzuki transformation



$$H : E_1, E_2, E_3, \dots, E_{d_P}, \dots, E_\infty$$

$$H_{\text{eff}} : E_1, E_2, E_3, \dots, E_{d_P}$$

$$QXHx^{-1}P = 0$$

model space dimension

$$H_{\text{eff}} = PXHX^{-1}P$$

unitary $X = \exp[-\text{arctanh}(\omega^+ - \omega)]$

$$\langle q | \omega | p \rangle = \sum_{k=0}^{d-1} \langle q | k \rangle \langle k | p \rangle$$

$$M = P + \omega^\dagger \omega = P(1 + \omega^\dagger \omega)P.$$

$$O_{\text{eff}} = M^{-\frac{1}{2}}(P + \omega^\dagger)O(P + \omega)M^{-\frac{1}{2}}$$

Valence-space renormalization of the $M_{0\nu\beta\beta}$ operator

Toy problem: ${}^6\text{He} \rightarrow {}^6\text{Be}$ transition

NCSM calculations in $N_{\text{max}}=6-10$ space projected to $N_{\text{max}}=0$

Effective operator used for transitions in $A=7,8,10$ systems

$$\mathcal{M}_{fi} \equiv \langle f | \sum_{ab} M_{ab}^{GT} + M_{ab}^F + M_{ab}^T | i \rangle$$

$$M_{ab}^{GT} = H_{GT}(r_{ab}) \boldsymbol{\sigma}_a \cdot \boldsymbol{\sigma}_b,$$

$$M_{ab}^F = H_F(r_{ab}),$$

$$H_K(r) = \frac{2R}{\pi r} \int_0^\infty \frac{h_K(q) \sin qr}{q + \bar{\omega}} dq, \quad K = GT, F.$$

$$\int_0^\infty C(r) dr = \mathcal{M}_{fi}$$

Valence-space renormalization of the $M_{0\nu\beta\beta}$ operator

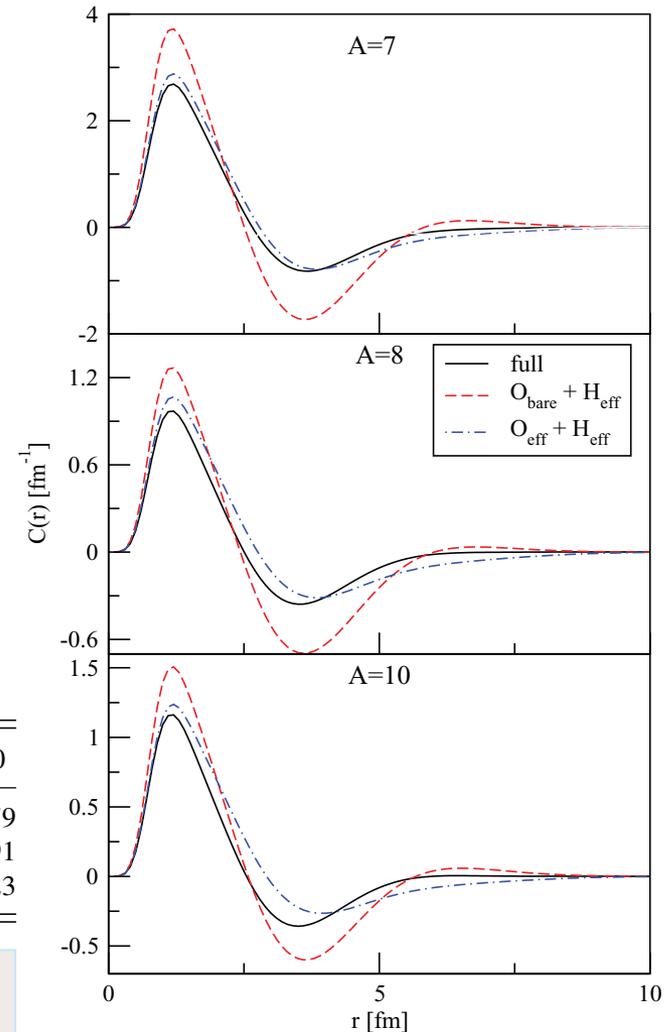
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transitions in $A=7,8,10$ systems:
 ${}_{7,8,10}\text{He} \rightarrow {}_{7,8,10}\text{Be}$

$$\int_0^\infty C(r) dr = \mathcal{M}_{fi}$$

	7	8	10
full	1.76	0.48	0.79
bare	1.49	0.18	0.91
effective	1.90	0.59	1.23



Non-perturbative renormalization
of the transition operator improves the shell model
ability to reproduce *ab initio* results

Conclusions and Outlook

- *Ab initio* calculations of nuclear structure and reactions is a dynamic field with significant advances
- Possible contribution to the neutrinoless double beta decay:
 - Renormalization of the transition operator to account for the short-range correlations using the SRG evolution
 - Renormalization to account for the valence-space truncation using the Okubo-Lee-Suzuki transformation and/or valence space IM-SRG
 - Benchmark calculations in ^{48}Ca and beyond

Collaborators contributing to presented results

Micah Shuster (ORNL)

Sofia Quaglioni, Eric Jurgenson (LLNL)

Calvin Johnson (SDSU)

Mihai Horoi (CMU)

Michael Desrochers (UBC), Doron Gazit (Hebrew U)

Jon Engel, D. Shukla (UNC)

A. Calci (TRIUMF), R. Roth (TU Darmstadt), D. Furnstahl (OSU)